Docket No.: 3918P002C



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

WENBIN JIANG, ET AL.

Application No.: 09/745,033

Filed: December 20, 2000

For: Method and Apparatus for Vertical Board

Construction of Fiber Optic Transmitters,

Receivers and Transceivers

Art Group: 2874

Examiner: Stahl, Michael J.

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted concurrently with the Request for Continued Examination. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Some of these references were cited in a related patent application, Application No. 09/321,308 filed May 27, 1999 by Wenbin Jiang et al., which Applicant hereby cross-references and hereby discloses.

ECHNOLOGY CENTER 2800

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted

William E. Alford, Reg.

Date: November 20, 2003

3200 Park Center Drive, Suite 750 Costa Mesa, CA 92626

Telephone: (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date



Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Sheet 1 of 2

H.	Complete if Known				
Application Number	09/745,033				
Filing Date	December 20, 2000				
First Named Inventor	Wenbin Jiang				
Art Unit	2874				
Examiner Name	Stahl, Michael J.				
Attorney Docket Number	3918P002C				

		Degrammath	U.S. PAI	ENT DOCUMENTS	
Examiner Initials*	Cite No.'	Document Number Number - Kind Code² (if known)	Publication Date or Issue Date MM-DD-YYYY		Pages, Columns, Lines, Whei Relevant Passages or Releva Figures Appear
		US-4,273,413	06-16-1981	Bendikens, et al.	, igures Appear
		US-4,362,358	12-07-1982		
		US-4,378,143	03-29-1983	Winzer	
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		JS-5,555,334		Ohnishi, et al.	8
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	·	FOR	REIGN PATENT	DOCUMENTS	
Examiner	Cite Foreign Patent Document				
Initials*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

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Examiner		
Signature	Date	
	Considered	
Examiner: Initial if reference considered wheel		

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include

'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

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Substitute for form 1449A/ATO INFORMATION BISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 | **of** | 2

Complete if Known							
Application Number 09/745,033							
Filing Date	December 20, 2000						
First Named Inventor	Wenbin Jiang						
Art Unit	2874						
Examiner Name	Stahl, Michael J.						
Attorney Docket Number	3918P002C						

			U.S. PATE	ENT DOCUMENTS	
Examiner Initials*	Cite No.1	Document Number Number - Kind Code² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,937,114	08-10-1999	Fisher, et al.	
		US-6,498,875	12-24-2002	Jiang, et al.	
		US-6,457,875 B1	10-01-2002	Kropp, et al.	
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Examiner	Date	
Signature	Considered	1

*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 'For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 'Applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wtr) 08/11/2003.



INFORMATION DISCLOSURE STATEMENT

AD	-			
		DOCKET NUMBER YKI-0030 SERIAL NUMBER		
			OLUI	MINESCENCE DISPLAY
<u>DE</u>	<u>/ </u>	EXAMINER Dung T. Nguy	en	ART UNIT 2871
1.	'	Applicant submits herewith a copy of (a) attached form PTO-1449, which list submitted for consideration by the Office; (b) a legible copy of each document	s all pat required	ents, publications, applications, or other information by 37 C.F.R. §1.98(b)(2).
2 . (-	Applicant herein apprises the Patent Office of references cited in a parent U.S. applifiling date under 35 U.S.C. §120. The Serial Number of the parent application filed and entitled The publications cited therein are listed on attached Form PTO-1449. In accordare not required. Additional parent patent applications are listed on an attached.	is U.S. A	th 37 C.F.R. §1.98(d) copies of the listed publications
3. 1	2	In accordance with 37 C.F.R. §1.98(a)(3), Applicant hereby certifies that for ea A copy of a translation of one or more non-English document, or portion to A concise explanation is (check at least one box below): provided in the accompanying foreign or international search report; in	ch refere hereof, i	ence not in English (check at least one box below): s provided herewith;
	F.R.	§1.97(b)(1), (b)(2) – WITHIN 3 MONTHS OF FILING OR ENTRY IN NATIONA Since this Information Disclosure Statement is being filed within three months of of the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in an ir §1.97(e) is required.	the filing	date of the subject application or within three months
	.R.	§1.97(b)(3), (b)(4) – PRIOR TO MAILING OF FIRST OFFICE ACTION OR FIRST A Since this Information Disclosure Statement is being filed before the mailing da of a first Office action after the filing of a request for continued examination ur §1.97(e) is required.	te of the	first Office Action on the merits, or before the mailing
6. (F. R. □ a. o.	. §1.97(c) – AFTER FIRST ACTION, BEFORE FINAL ACTION OR ALLOWAN Since this Information Disclosure Statement is being filed outside of the period of a Final Rejection or Notice of Allowance, this submission is being accompaned the fee required under 37 C.F.R. §1.97(c)(2) and specified in 37 C.F.R. §1.97(e) (Box 8 or 9 must be checked)	provided nied by (1.17(p).	I for in 37 C.F.R. §1.97(b), but before the mailing date one of the following boxes must be checked):
	F.R.	§1.97(d) – AFTER FINAL REJECTION OR ALLOWANCE Since this Information Disclosure Statement is being filed after the period specified and is accompanied by both the statement specified in 37 C.F.R. §1.97(e) be checked.)	and the	ee set forth in 37 C.F.R. §1.17(p). (Boxes or 9 must
8. (In accordance with 37 C.F.R. §1.97(e)(1), Applicant's attorney certifies that ea Statement was first cited in any communication from a foreign patent office in a c to the filling of this Information Disclosure Statement. The foreign or internal contents are contents.	ounterpa	art foreign application not moretnan three months prior
9. (-	In accordance with 37 C.F.R. §1.97(e)(2), Applicant's attomey certifies that n Statement was cited in a communication from a foreign patent office in a count signing this certification after making reasonable inquiry, no item of information to any individual designated in 37 C.F.R. §1.56(c) more than three months price	erpart fo containe	reign application, and, to the knowledge of the person d in this Information Disclosure Statement was known
10. i	2	In the event the Commissioner of Patents deems that any additional fee is recapplication, Applicant's attorneys authorize that such fee be charged to Depos	uired ur it Accou	der 37 C.F.R. §§ 1.16 or 1.17 in connection with this nt No. 06-1130.
11.	Con	sideration of this Information Disclosure Statement is respectfully requested.		
	Na	me: Lisa A. Bongiovi	Registr	ation Number 48,933
	Signa	ature Suil Bryson	Date	November 21, 2003
suffi	cient	CATE OF MAILING OR TRANSMISSION: I hereby certify that this correspondence is be postage as first class mail in an envelope addressed to: Commissioner For Patents, P. of the U.S. Patent and Trademark Office to Facsimile No.	 Box 14 	osited with the United States Postal Service with 50, Alexandria, VA 22313-1450, or [] facsimile ne date shown below.
		ame Patricia A. Hart 7 / 1		
	Signa	ature Pat Atan	Date	November 21, 2003

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*EXAMINER	3	BADENBER		U.S. PA	TENT DOCUMENTS			
INITIAL	KEI	DOCUMENT NOMBER	DATE		NAME	CLASS	SUBCLASS	FILING DA
		5,247,190	09/21/1993	Friend	l et al.			IF APPROPRI
		6,618,029	09/09/2003	Ozawa	1			
								
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				FOREIGN	PATENT DOCUMEN	TS		
R	EF	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	Translation
		10-92576	1998.04.10	Japan			 	YES NO
	_ 1	11-24606	1999.01.29	Japan				
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			<u> </u>	OTHER DO	OCUMENTS (Includio	ng Author, Title, Dai	la Partin and D	
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